

REPORT ON THE USE OF PEN REGISTERS AND
TRAP AND TRACE DEVICES BY THE LAW ENFORCEMENT AGENCIES/OFFICES
OF THE DEPARTMENT OF JUSTICE FOR CALENDAR YEAR 2001

<u>PEN REGISTERS</u>	FBI	DEA	INS ¹	USMS	INSP GEN	TOTALS
Original Orders	2350	1652	*	164	6	4172
Extensions	3426	290	*	46	18	3780
Number of Investigations	1742	596	*	164	3	2505
Number of Persons Whose Telephone Facilities Were Affected	5776	1652	*	164	11	7603

<u>TRAP AND TRACE DEVICES</u>	FBI	DEA	INS	USMS	INSP GEN	TOTALS
Original Orders	1283	122	*	103	3	1511
Extensions	1729	32	*	27	1	1789
Number of Investigations	1020	45	*	103	2	1170
Number of Persons Whose Telephone Facilities Were Affected	3012	122	*	103	3	3240

¹ For calendar year 2001 forward, we were not able to obtain pen register/trap and trace statistics from the former Immigration and Naturalization Service.

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Memorandum



To : Assistant Attorney General
Criminal Division
Date January 31, 2002
(Attention: Frederick D. Hess, Director
Office of Enforcement Operations)

From : ^{WAV} Assistant Director
Laboratory Division

Subject : PEN REGISTER AND TRAP/TRACE DEVICES
LEGISLATION AND REPORT REQUIREMENTS

Reference is made to Assistant Attorney General, Criminal Division, letter dated November 24, 1987, titled "Pen Register and Trap and Trace Devices-New Legislation and Report Requirements."

~~In accordance with the referenced communication and the provisions of the Electronic Communications Privacy Act of 1986, the Federal Bureau of Investigation is providing the enclosed report.~~

As a point of clarification, the phrase, "number of persons whose telephone facilities were affected" was interpreted as the number of lines intercepted. The category "number of original applications and extensions for this violation" contains the number of original and extensions of lines for that violation.

Enclosure

AGENCY: Federal Bureau of Investigation

Pen Register Applications -- Calendar Year 2001
(January 1 - December 31, 2001)

Number of Original Orders	2350
Number of Extensions	3426
Number of Investigations Involved	1742
Number of persons Whose Telephone Facilities were Affected	5776

LIST OF THE VIOLATIONS FOR WHICH
PEN REGISTERS WERE SOUGHT

Statutory Citation	Principal Violation	Number of Original Applications and Extensions for this Violation	
1 (21 USC § 801 et seq.)	Organized Crime Drug Enforcement Task Force	(727)	(921)
2 (18 USC § 1073)	Unlawful Flight to Avoid Prosecution	(41)	(18)
3 (18 USC § 1005)	Financial Institution Fraud	(23)	(86)
4 (18 USC Chap. 41)	Extortion	(5)	(3)
5 (18 USC § 2113)	Bank Robbery	(33)	(11)
6 (18 USC § 3122)	Atomic Energy Act	(0)	(10)
7 (18 USC § 2423 (b))	Interstate Transportation of Obscene Matters	(1)	(0)
8 (18 USC § 1201-1203)	Kidnapping	(10)	(4)
9 (18 USC § 2314-2315)	Interstate Transportation of Stolen Property	(10)	(16)
10 (18 USC § 1955)	Illegal Gambling Business	(12)	(51)
11 (18 USC § 1952)	Interstate Transportation in Aid of Racketeering	(128)	(175)
12 (18 USC § 1952)	Sports Bribery	(0)	(5)
13 (47 USC 223 (a) (1) (d))	Interstate Obscene or Harassing Telephone Calls	(1)	(0)
14 (18 USC § 1962, 1963)	Racketeering Enterprise Investigation	(82)	(68)
15 (18 USC § 2312)	Interstate Transportation of a Stolen Motor Vehicle	(8)	(1)
16 (18 USC § 1951)	Hobbs Act-Armored Carrier	(3)	(1)
17 (18 USC § 659, 2315, 3122, 3123)	Theft from Interstate Shipment	(3)	(14)
18 (18 USC Chap. 73)	Obstruction of Justice	(0)	(7)

19(18 USC § 115, 371,) 844(F) 1361, 1871-72, 1958)	Acts of Terrorism in the United States - International Terrorism	(90)	(169)
20(18 USC § 3123)	Acts of Terrorism in the United States- Domestic Terrorism	(23)	(95)
21(18 USC § 1959)	Police Killings	(0)	(18)
22(18 USC § 201, 371 1001, 21 USC § 841, 846)	Corruption of Federal Public Officials - Executive Branch	(14)	(11)
23(18 USC § 1952, 1956,)) 1957, 3122 21 USC § 841(a) (1), 843(d), 846)	Money Laundering	(58)	(56)
24(18 USC § 1951, 1955)) 1961, 3122, 3123 21 USC § 841, 843, 846)	Organized Crime Drug Investigations	(769)	(1134)
25(18 USC § 3123, 956)) 960)	Neutrality Matters	(3)	(8)
26(21 USC § 841 (a))	Drug Related Homicide	(1)	(0)
27(18 USC § 1962)	Labor Racketeering	(1)	(0)
28(18 USC § 3123, 1343, 3146, 1071, 2315, 371, 1341)	Telemarketing Fraud	(0)	(6)
29(18 USC § 1030, 1343))	Computer Investigations Threat	(5)	(1)
30(18 USC § 1341, 1503)) 1956, 1957, 1343, 401)	Securities and Commodities Fraud	(3)	(6)
31(18 USC § 1073, 1503)) 371, 1341)	Other National Fraud	(26)	(26)
32(21 USC § 841 (a) 1))	Indian Country	(3)	(0)
33(18 USC § 1341, 1343)) 21 USC § 841 (a), 846)	Fraud Against the Government- DOD Official	(3)	(0)
34(26 USC § 7201)	Fraud Against the Government-Department of Education	(1)	(1)
35(18 USC § 666, 1341)) 1343, 841 (a) (1), 846, 503, 3122)	Health Care Fraud	(25)	(67)
36(18 USC § 241, 242))	Civil Rights-Color of Law	(1)	(0)
37(18 USC § 3122, 3123))	Freedom of Access to Clinic Entrances- Act of 1994	(2)	(13)
38(26 USC § 5861, 5871))	CT Preparedness - Special Events	(3)	(0)
39(18 USC § 1341, 201))	Fraud Against the Government-Other	(2)	(8)
40(18 USC § 3123, 3124))	White Slave Traffic Act	(1)	(1)
41(18 USC § 1201))	Crime on Government Reservation	(1)	(0)
42(42 USC § 3631))	Racial Discrimination	(1)	(0)

43(18 USC § 3122, 2703)	Mail Fraud	(2)	(0)
44(18 USC § 2, 2251,)	Innocent Images National Initiative	(3)	(0)
2252			
45(18 USC § 3146)	Bankruptcy Fraud	(4)	(0)
46(18 USC § 3122)	Hostage Taking - International Terrorists	(3)	(0)
47(18 USC § 841)	Fraud Against the Government - HUD	(1)	(1)
48(18 USC § 2314, 2113,)	Domestic Security/ Terrorism Investigations	(3)	(21)
245, 2914, 3148			
49(18 USC § 3122)	Involuntary Servitude and Slavery	(6)	(5)
50(18 USC § 2332 (a))	Use, Possession, Transfer, Production, Transport of WMD	(14)	(3)
51(18 USC § 186, 1962)	Corruption of State and Local Public Officials	(172)	(337)
52(18 USC § 3122)	Corruption Federal Public Officials - Legislative Branch	(6)	(19)
53(18 USC § 841 (a) 1,)	RICO	(7)	(29)
846			
54(18 USC § 111, 115,)	Assaulting or Killing a Federal Officer	(6)	(0)
1958, 1114, 751			

AGENCY: Federal Bureau of Investigation

Trap and Trace Applications - Calendar Year 2001
(January 1 - December 31, 2001)

Number of Original Orders	1283
Number of Extensions	1729
Number of Investigations Involved	1020
Number of Persons Whose Telephone Dialing were Affected	3012

LIST OF THE VIOLATIONS FOR WHICH
TRAP AND TRACE WERE SOUGHT

Number of Original
Applications and
Extensions for this
Violation

Statutory Citation	Principal Violation		
1 (21 USC § 801 et seq.)	Organized Crime Drug Enforcement Task Force	(423)	(566)
2 (18 USC § 1073)	Unlawful Flight to Avoid Prosecution	(45)	(48)
3 (18 USC Chap. 41)	Extortion	(10)	(11)
4 (18 USC § 2113)	Bank Robbery	(19)	(5)
5 (18 USC § 2314, 2113, 245, 2914, 3148)	Domestic Security/ Terrorism Investigations	(3)	(14)
6 (18 USC § 1201-1203)	Kidnapping	(9)	(3)
7 (18 USC § 2314-2315)	Interstate Transportation of Stolen Property	(7)	(5)
8 (18 USC § 1952)	Interstate Transportation in Aid of Racketeering	(70)	(94)
9 (18 USC § 115)	Assaulting or Killing a Federal Officer	(8)	(0)
10 (18 USC 3123, 956 960)	Neutrality Matters	(3)	(8)
11 (18 USC § 1955)	Illegal Gambling Business	(8)	(28)
12 (18 USC § 3123)	Acts of Terrorism in the United States - Domestic Terrorism	(16)	(68)
13 (18 USC § 1005)	Financial Institution Fraud	(23)	(75)
14 (18 USC § 3122)	Atomic Energy Act	(0)	(10)
15 (18 USC § 659, 2315, 3122, 3123)	Theft from Interstate Shipment	(3)	(14)
16 (18 USC § 1959)	Police Killings	(0)	(18)

17(18 USC § 115, 371,) 844(F) 1361, 1871-72 1958)	Acts of Terrorism in the United States - International Terrorism	(47)	(46)
18(18 USC § 2312)	Interstate Transportation of Stolen Motor Vehicle	(2)	(1)
19(18 USC § 1952, 1956) 1957, 3122 21 USC § 841(a)(1), 843(d), 846)	Money Laundering	(38)	(30)
20(18 USC § 1951, 1955, 1961, 3122, 3123 21 USC § 841, 843, 846)	Organized Crime Drug Investigations	(354)	(479)
21(18 USC § 201)	Corruption of Federal Public Officials - Executive Branch	(10)	(10)
22(18 USC Chap. 73)	Obstruction of Justice	(7)	(7)
23(18 USC § 1962, 1963)	Racketeering Enterprise Investigation	(39)	(38)
24(18 USC § 1023-1024)	Fraud Against the Government - DOD	(3)	(0)
25(18 USC § 1341, 201)	Fraud Against the Government - Other	(2)	(8)
26(18 USC § 2423 (b))	Interstate Transportation of Obscene Matters	(1)	(0)
27(18 USC § 3123, 1343, 3146, 1071, 2315, 371, 1341)	Telemarketing Fraud	(6)	(6)
28(18 USC § 3122)	Hostage Taking - International Terrorists	(3)	(0)
29(18 USC § 1073, 1503, 371, 1341)	Other National Fraud	(12)	(2)
30(18 USC § 841 (a) (1)))	Indian Country	(5)	(0)
31(18 USC § 666, 1341,) 841 (a)(1), 846, 503, 3122)	Health Care Fraud	(1)	(8)
32(18 USC § 1030, 1343)	Computer Investigations Threat	(3)	(0)
33(18 USC § 3122)	Corruption of Federal Public Officials - Legislative Branch	(2)	(0)
34(18 USC § 1962)	Labor Racketeering	(1)	(0)
35(42 USC § 3631)	Racial Discrimination	(2)	(0)
36(18 USC § 3146)	Bankruptcy Fraud	(1)	(0)
37(18 USC § 3122, 3123)	Freedom of Access to Clinic Entrances - Act of 1994	(2)	(0)
38(18 USC § 3123)	Assassination, Kidnapping or Assault - Executive Department Head	(2)	(0)

39(18 USC § 3122)	Discrimination in Housing	(1)	(0)
40(18 USC § 841 (a) 1, 846)	RICO	(4)	(23)
41(18 USC § 186, 1962)	Corruption of State and Local Public Officials	(75)	(102)
42(18 USC § 2332 (a))	Use, Possession, Transfer, Production, Transport of WMD	(5)	(0)
43(18 USC § 3122)	IPR Infringement - Copyright	(1)	(0)
44(26 USC § 5861, 5871)	CT Preparedness - Special Events	(3)	(0)
45(18 USC § 2, 2251, 2252)	Innocent Images National Initiative	(1)	(0)
46(18 USC § 3122)	Involuntary Servitude and Slavery	(1)	(0)
47(18 USC § 3123)	Domestic Police Cooperation	(0)	(2)
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48(18 USC § 1951)	IPR Infringement - Threat of Trade Secrets	(2)	(0)

AGENCY Drug Enforcement Administration

Pen Register Applications - Calendar Year 2001
(January 1 - December 31, 2001)

Number of Original Orders	<u>1652</u>
Number of Extensions	<u>290</u>
Number of Investigations Involved	<u>596</u>
Number of Persons Whose Telephone Dialings Were Affected	<u>1652</u>

LIST OF THE VIOLATIONS FOR WHICH
PEN REGISTERS WERE SOUGHT

Statutory Citation	Principal Violation	Number of Original Applications & Extensions
1 (21 USC 841)	<u>Distribution of Narcotics</u>	(875) (219)
2 (21 USC 846)	<u>Conspiracy to Distribute</u>	(321) (73)
3 (21 USC 848)	<u>CCE</u>	(21) (4)
4 (21 USC 952)	<u>Importation of Narcotics</u>	(204) (22)
5 (18 USC 1956)	<u>Money laundering</u>	(115) (67)

AGENCY Drug Enforcement Administration

Trap & Trace Applications - Calendar Year 2001
(January 1 – December 31, 2001)

Number of Original Orders 122

Number of Extensions 32

Number of Investigations Involved 45

Number of Persons Whose
Telephone Dialings Were Affected 122

LIST OF THE VIOLATIONS FOR WHICH
PEN REGISTERS WERE SOUGHT

Statutory Citation	Principal Violation	Number of Original Applications & Extensions
1 (21 USC 841)	<u>Distribution of Narcotics</u>	(87) (23)
2 (21 USC 846)	<u>Conspiracy to Distribute</u>	(29) (7)
3 (21 USC 1956)	<u>Money laundering</u>	(8) (0)



U.S. Department of Justice

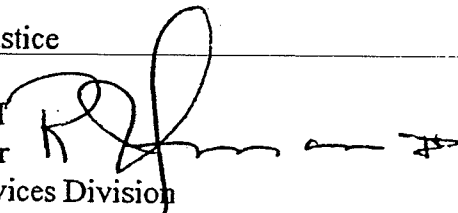
United States Marshals Service

Investigative Services Division

Arlington, VA 22202-4210

FEB 11 2002

MEMORANDUM TO: Maureen Killion
Director of Office of Enforcement
Operations
Department of Justice

FROM: Robert J. Finan II 
Assistant Director
Investigative Services Division

SUBJECT: Annual Report for 2001

Attached is the year end activity report for calendar year 2001 compiled by the United States Marshals Service's Electronic Surveillance Unit. The report summarizes the Service's yearly activity relating to Pen Registers and Trap and Trace orders.

Attachment(s)

1. Report for 2001
2. List of Violations for
Pen Registers/Trap & Traces

**UNITED STATES MARSHALS SERVICE
PEN REGISTER/ TRAP & TRACE
2001 ANNUAL REPORT**
